



PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q65269

Katsumi KIKUCHI, et al.

Appln. No.: 09/894,123

Group Art Unit: 2823

Confirmation No.: 5729 Examiner: William D. Coleman

Filed: June 29, 2001

For: SEMICONDUCTOR PACKAGE BOARD USING A METAL BASE

#### STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

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WASHINGTON OFFICE

23373

PATENT TRADEMARK OFFICE
Date: February 12, 2003

Respectfully submitted,

Howard L. Bernstein Registration No. 25,665



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# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. Japanese Unexamined Patent Publication No. 2000-82722, published March 21, 2000.
- 2. Japanese Unexamined Patent Publication No. 2001-352007, published December 21, 2001.
- 3. Japanese Unexamined Patent Publication No. 2001-185653, published July 6, 2001.
- 4. Japanese Unexamined Patent Publication No. 2001-144245, published May 25, 2001.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the

KIKUCHI et al.

Appln. No. 09/894,123

Information Disclosure Statement

merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Japanese Office Action

dated January 14, 2003 with an English translation of the pertinent portions thereof which cite

such documents and indicate the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

espectfully submitted,

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listed document as a competent reference against the claims of the present application.

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2